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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: NAKAYAMA et al.  
Application No. 09/828,301

Filed: April 6, 2001

For: APPARATUS AND METHODS FOR  
MEASURING SURFACE PROFILES AND  
WAVEFRONT ABERRATIONS, AND  
LENS SYSTEMS COMPRISING SAME

Examiner: Not yet assigned

Date: July 11, 2001

Art Unit: Not yet assigned

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on July 11, 2001, as First Class Mail in an envelope addressed to: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231.

*David L. Stephany*  
\_\_\_\_\_  
Attorney for Applicant

MISSING PARTS TRANSMITTAL LETTER

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COMMISSIONER FOR PATENTS  
Washington, DC 20231

Enclosed for filing in the application referenced above are the following:

- Copy of Notice to File Missing Parts of Application--Filing Date Granted.
- Basic Filing Fee of \$710.00
- Additional claim fee of \$1,014.00
- Assignment and Recordal Fee of \$40.00
- Combined Declaration and Power of Attorney.
- Surcharge for Late Filing of Fee or Oath or Declaration.
  - \$65.00 - Small Entity
  - \$130.00 - All Others
- A check in the amount of \$1,894.00 to cover the above-listed fees.
- The Director is hereby authorized to charge any additional fees which may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.

- Please return the enclosed postcard to confirm that the items listed above have been received.

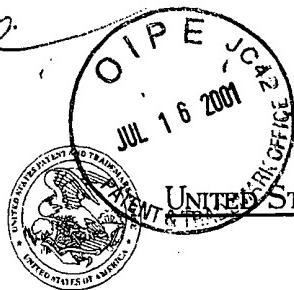
Respectfully submitted,

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828,301	04/06/2001	Shigeru Nakayama	4641-58305

CONFIRMATION NO. 6580

## FORMALITIES LETTER



\*OC000000006066508\*

KLARQUIST SPARKMAN CAMPBELL  
LEIGH & WHINSTON, LLP  
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Date Mailed: 05/11/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1014.
  - \$774 for 43 total claims over 20.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1854.

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02 FC:105  
03 FC:102  
04 FC:103

A copy of this notice **MUST** be returned with the reply.

*[Signature]*  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE